SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APPROVAL											
$ \Gamma$	OMB Number: 3235-0287											
	Estimated average burden											
	hours per response: 0.5											

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre <u>Bacher Johan</u> (Last) C/O OPGEN, II SUITE 100	nnes (First)	ng Person* (Middle) EY WEST AVE.	3. Dat	uer Name <b>and</b> Ticke <u>GEN INC</u> [ OI te of Earliest Transa 5/2021	PGN ]		<ul> <li>5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>				
(Street) ROCKVILLE	MD (State)	20850 (Zip)	4. If A	mendment, Date of	f Original Filed	l (Month/Day/Year)					
		Table I - Nor	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benef	icially	Owned			
1. Title of Security	r (Instr. 3)		2. Transaction	2A. Deemed	3. Transaction	4. Securities Acquired (A		5. Amount of Securities	6. Ownership	7. Nature	

1. Trile of Security (instr. 3)	2. Transaction Date (Month/Day/Year)	EXECUTION DATE, if any (Month/Day/Year)	te, Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(insu. 4)
Common Stock	09/15/2021		Р		5,000	Α	\$3.07	25,000	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		er 6. Date Exercisable and Expiration Date (Month/Day/Year) d d			e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

/s/ Johannes Bacher

09/16/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.